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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/817,212	04/02/2004	Steven R. Kleiman	112056-0126D1	2407
24267 7590 08/06/2010 CESARI AND MCKENNA, LLP 88 BLACK FALCON AVENUE BOSTON, MA 02210				
EXAMINER NGUYEN, THAN VINH				
ART UNIT		PAPER NUMBER		
2187				
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08/06/2010		PAPER		

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

### Office Action Summary

**Application No.**

10/817,212

**Applicant(s)**

KLEIMAN ET AL.

**Examiner**

Than Nguyen

**Art Unit**

2187

**Period for Reply** -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 28 May 2010.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-16, 39, 40 and 45-51 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 45, 46 and 50 is/are allowed.
- 6) ☒ Claim(s) 1-16, 39, 40, 47-49 and 51 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: \_\_\_\_\_

**DETAILED ACTION**

1. This is a response to the amendment, filed 5/28/10.
2. Claims 1-16,39,40,45-51 are pending.

***Response to Arguments***

3. Applicant's arguments filed 12/23/09 have been fully considered but they are not persuasive. The MPEP section relied on is not 2164.08 (as cited by Applicant) but MPEP 2173.
4. The claims are rejected under 35 USC 112, second paragraph, because they do not provide a clear measure of what applicants regards as the invention. They are rejected because one of ordinary skills in the art can not possibly apprise the actual scope of the invention without "reading" details from the specification into the claims. The current claims are rejected because the scope is vague and ambiguous to one of ordinary skills in the art.
5. The claim language (at least from independent claims 1,39,40,45,51) under consideration is: "selecting a parity subtraction method or a recalculation method for parity calculation based on the method that requires a fewest number of read operations to compute parity for the I/O operations". Now, if it is well-known in the conventional art that a parity subtraction method will always provide the fewest number of read operations to compute parity for the I/O operations, over a recalculation method, then there would be no question to one of ordinary skills in the art on which method to choose. Vice versa, if it is well known in the convention art that any subtraction method will provide the fewest number of read operations to compute parity for I/O operations, over parity subtraction method, then it would clear to one of ordinary skills on which method to choose. However, it is clear (at least from the claim language and specification), that there is no "clear" conventional method that always provide the fewest

number of read operations to compute parity for I/O operations, one of ordinary skills in the art would not be able to select which method to provide the fewest read operations. Thus, from the current language, there is no way one of ordinary skills can identify the metes and bounds of the scope of the invention because one of ordinary skills cannot identify which method to choose from without further information. Further information, such as first performing the individual (parity subtraction and recalculation) methods and obtaining the results of each method, is required in order to determine which method results in the fewest read operations. Therefore, the scope of the claimed invention is vague and ambiguous, since one of ordinary skills cannot possibly apprise the scope of the invention without further details that details which method leads to the fewest read operations. Applicant's argument and explanation affirms the Examiner's reasons since only when certain details of each method is performed (performing a number of read operations, determining the fewest number of read operations per stripe, selecting the method the number of reads is greater/less than the total number of storage blocks) can the fully scope of the invention be appraised. Without reading further details from the specification, the scope of the claimed invention cannot be comprehended. Thus, the Examiner maintains that the claims are vague and indefinite under 35 USC 112, second paragraph. Again, the Examiner suggests Applicant review the claims that are allowable for sound claim construction that clearly identifies the scope of the invention and avoid vagueness. Applicant should refer to claim 45 (last 3 paragraphs), which truly has the correct and necessary language to use in claim 1.

6. To overcome the USC 112, second paragraph rejection, Applicant should add the limitations: determine the number of storage blocks to be written in each stripe, wherein the

recalculation method will provide the fewest read if the number of blocks to be written exceeds half the total number of storage blocks in the stripe, and wherein the subtraction method will require the fewest read if the number to be written is less than half the total number of storage blocks in the stripe. These limitations will establish precedence the process of determining which method will yield the fewest read before the actual selection of the which method to use takes place.

*Claim Rejections - 35 USC § 112*

7. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

8. Claims 1-16,39,40,47-49,51 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

9. As to claim 1, Applicant claims **“selecting a parity subtraction method or a recalculation method for parity calculation based on the method that requires the fewest number of read operations to compute parity for the I/O operations”**. Applicant claims selecting a parity subtraction method or recalculation method for parity calculation based on the method that requires the fewest number of read operations to compute parity. However, Applicant fails to provide how the process of determining which method requires the fewest number of read operations. Without the process of actually first performing each parity calculation method (subtraction and recalculation), there is no way of determining which one requires the fewest reads. Therefore, Applicant must further include the actually steps of

performing each parity method (subtraction and recalculation) to obtain the number of read operations necessary for each method to satisfy the 112, second paragraph, requirement.

10. Claims 16,39,40,47,51 have the same deficiency as claim 1 above and are rejected for the same reasons as claim 1.

11. As to claim 2, the language of **“controlling execution of I/O operations by identifying a plurality of contiguous storage blocks on a single storage device for use by each I/O operation so as to substantially minimize a number of read operations** needed for calculation of error correction parameters access a stripe” is vague and indefinite. The term “controlling” in “controlling execution of I/O operations” is purely vague and ambiguous since the term controlling has an infinite number of meanings. The language of “so as to substantially minimize a number of read operations” is indefinite as Applicant neglected to indicate, in the claim language, how this “minimize” function is realized. Without specific steps to achieve those results, one of ordinary skills cannot make and/or use the invention, as claimed. Thus the claim is indefinite as it does not provide enough information to ascertain how the invention functions since Applicant only claims the result of a step/function without indicating the necessary steps to obtain that result. Applicant must include all the necessary process steps required to minimize a number of read operations needed for calculation of error correction parameters to satisfy the 112, second paragraph, requirement.

12. Claims 3-15 are also rejected for incorporating the error of the parent claim.

13. As to claim 47, Applicant claims **“selecting** whether to substantially minimize the number of read blocks **or** to substantially maximize chain lengths of read blocks, and **implementing the selection**, during the writing of the data to the plurality of storage devices,

responsive to the block layout information, and responsive to whether substantially minimizing the number of read blocks or substantially maximizing chain lengths of read blocks requires fewer numbers of read operations". Applicant failed to indicate what process is involved in the "implementing the selection" step to realize "substantially minimize the number of read blocks" or "substantially maximizing chain lengths of read blocks", without which one of skills cannot understand how the claimed invention operates/functions to achieve the desired goal. The terms "implementing the selection" is already vague and ambiguous in meaning, which, without further steps defining what is involved in the implementation step, one in the art could not possibly understand how the implementation is implemented to obtain the desired results. Thus, the claim language is vague and indefinite as Applicant fails to recite the necessary steps involved to "implement the selection". Applicant must include all the necessary process steps required to implement the selection to minimize the number of read blocks **and** maximize the chain length of read blocks to satisfy the 112, second paragraph, requirement.

14. Claims 48-49 are also rejected for incorporating the error of the parent claim 47.

***Allowable Subject Matter***

15. Claims 45-46,50 are allowed for reasons indicated previously (6/6/08).
16. Claims 1-16,39,40,47-49,51 would be allowable if rewritten or amended to overcome the rejection(s) under 35 U.S.C. 112, 2nd paragraph, set forth in this Office action. The reason for allowable subject matter is indicated in the 6/6/08 Office Action.

***Conclusion***

17. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Than Nguyen whose telephone number is 571-272-4198. The examiner can normally be reached on 7am-3pm M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kevin Ellis can be reached on (571) 272-4205. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



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/Than Nguyen/  
Primary Examiner, Art Unit 2187

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